FORM D

UNITED STATES

SECURITIES AND EXCHANGE COMMISSIO

Washington, D.C. 20549

FORM D

NOTICE OF SALE OF SECURITIES PURSUANT TO REGULATION D. **SECTION 4(6) AND/OR** UNIFORM LIMITED OFFERING EXEMPTION

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Offer and Sale of limited partner interests	n amendment and name has changed, and indicate chang	(c.)					
Filing Under (Check box(es) that apply):	☐ Rule 504 ☐ Rule 505 ☐ Rule 506 ☐ Amendment	Section 4(6) ULOE					
	A. BASIC IDENTIFICATION DATA	PHOCESSE					
1. Enter the information requested about t	the issuer	- OEOOLL					
Name of Issuer (Check if this is an ar Charles River Friends XIII-A, LP	mendment and name has changed, and indicate change.)	NOV 0 8 2007					
Address of Executive Offices c/o Charles River Ventures, Inc., 1000 Win	(Number and Street, City, State, Zip Code) nter Street, Suite 3300, Waltham, MA 02451	Telephone Number to Judic Act Code) 781-768-6023					
Address of Principal Business Operations (if different from Executive Offices)		Telephone Number (Including Area Code)					
Brief Description of Business Investment fund focused on private equity and venture capital investments.							
Type of Business Organization corporation business trust	☑ limited partnership, already formed ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐	other (please specify):					
Actual or Estimated Date of Incorporation Jurisdiction of Incorporation or Organizati	or Organization: ion: (Enter two-letter U.S. Postal Service abbreviation for CN for Canada; FN for other foreign jurisdiction)	7					

GENERAL INSTRUCTIONS

Federal:

Who Must File: All issuers making an offering of securities in reliance on an exemption under Regulation D or Section 4(6), 17 CFR 230.501 et seq. or 15 U.S.C. 77d(6)

When to File: A notice must be filed no later than 15 days after the first sale of securities in the offering. A notice is deemed filed with the U.S. Securities and Exchange Commission (SEC) on the earlier of the date it is received by the SEC at the address given below or, if received at that address after the date on which it is due, on the date it was mailed by United States registered or certified mail to that address.

Where to File: U.S. Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549

Copies Required: Five (5) copies of this notice must be filed with the SEC, one of which must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

Information Required: A new filing must contain all information requested. Amendments need only report the name of the issuer and offering, any changes thereto, the information requested in Part C, and any material changes from the information previously supplied in Parts A and B. Part E and the Appendix need not be filed with the SEC.

Filing Fee: There is no federal filing fee.

State:

This notice shall be used to indicate reliance on the Uniform Limited Offering Exemption (ULOE) for sales of securities in those state that have adopted ULOE and that have adopted this form. Issuers relying on ULOE must file a separate notice with the Securities Administrator in each state where sales are to be, or have been made. If a state requires the payment of a fee as a precondition to the claim for the exemption, a fee in the proper amount shall accompany this form. This notice shall be filed in the appropriate states in accordance with state law. The Appendix to the notice constitutes a part of this notice and must be completed.

ATTENTION

Failure to file notice in the appropriate states will not result in a loss of the federal exemption. Conversely, failure to file the appropriate federal notice will not result in a loss of an available state exemption unless such exemption is predicated on the filing of a federal notice.

		A DAGGO IDENTIFIE	TO LOUIS DAMA		
		A. BASIC IDENTIF	ICATION DATA		
2. Enter the information requested					•
Each promoter of the issu	ier, if the issue	r has been organized wi	thinthe past five years;	C 1001	
Each beneficial owner ha	wing the power	r to vote or dispose, or o	firect the vote or dispositi	on of, 10% or mo	ore of a class of equity
securities of the issuer;					
 Each executive officer ar 			corporate geneal and man	aging partners of	partnership issuers; and
 Each general and managi 	ing partner of p	artnership issuers.			
Check Box(es) that Apply:	Promoter	 Beneficial Owner 	□ Executive Officer	□ Director	Manager of Issuer
Full Name (Last name first, if indi-	vidual)	·			
Charles River Ventures, LLC					
Business or Residence Address	(Nun	ber and Street, City, St	ate. Zip Code)		
1000 Winter Street, Suite 3300, W			,,		
	·		·		
Check Box(es) that Apply:	□ Promoter	☐ Beneficial Owner	□ Executive Officer	□ Director	□ General Partner
Full Name (Last name first, if indi-	vidual)				
Charles River XIII GP, LLC					
Business or Residence Address	(Nun	ber and Street, City, St	ate. Zip Code)		
1000 Winter Street, Suite 3300, W			,,		
	<u> </u>			Di	
Check Box(es) that Apply:	□ Promoter	□ Beneficial Owner		□ Director	☐ General and/or
					Managing Partner
				 	
Full Name (Last name first, if indi	vidual)				
Armony, Izhar					
Business or Residence Address	(Nun	ber and Street, City, St	ate, Zip Code)		-
c/o Charles River Ventures, LLC	1000	Winter Street, Suite 33	00, Waltham, MA 02451		
Check Box(es) that Apply:	☐ Promoter	☐ Beneficial Owner	☑ Evecutive Officer	☐ Director	☐ General and/or
Check Box(es) mat Appry.	- Homotei	- Bellettelat Owner	M Executive Officer	C Director	Managing Partner
					managing i artici
				· · · · · · · · · · · · · · · · · · ·	
Full Name (Last name first, if indi-	vidual)				
Sachs, Bruce I.			. <u>. </u>		
Business or Residence Address		iber and Street, City, St			
c/o Charles River Ventures, LLC	1000	Winter Street, Suite 33	00, Waltham, MA 02451		
Check Box(es) that Apply:	☐ Promoter	☐ Beneficial Owner	⊠Executive Officer	☐ Director	☐ General and/or
Check Box(cs) diat repply.	_ riomoter	- Delictional Owner	BEACCHITE OFFICE	_ Director	Managing Partner
					managing t water
Tall Manager (Cart Sept 16 in 4)		· · · · · · · · · · · · · · · · · · ·			
Full Name (Last name first, if indi	viduai)				
Tai, William P.					
Business or Residence Address		nber and Street, City, St			
c/o Charles River Ventures, LLC	1000	Winter Street, Suite 33	00, Waltham, MA 02451		
Check Box(es) that Apply:	☐ Promoter	☐ Beneficial Owner	⊠Executive Officer	☐ Director	☐ General and/or
11.2	_	_	_		Managing Partner
					2 2
Full Name (Last name first, if indi	vidual)				
Zachary, George					
Business or Residence Address	Alum	nber and Street, City, St	tote 7 in Code)		
c/o Charles River Ventures, LLC	1000	Winter Street, City, 31	00, Waltham, MA 02451		
GO CHARIES KIYEL VEHILLES, LLC	1000	milici oucci, ouic 33	oo, watulalii, MA 02431		

				B. INF	ORMATIC	N ABOU	OFFERI	NG				
1. Has the i	icener eald	or does the i	coner inten	to sell to	non accred	ited invests	ere in this a	ffering?			Yes	No ⊠
1. Has the i								nermg:	****************	***********		8
	An	swer also in	Appendix,	Column 2,	if filing un	der ULOE.						
2. What is	the minimur	n investmen	t that will b	e accepted	from any in	ndividual?.					No min	
		1. 1. 1			*.o						Yes —	No _
3. Does the											⊠	
4. Enter the remuneration agent of a base persons to be Full Name (on for solicit roker or dea se listed are	ation of pur der registere associated p	chasers in c d with the a ersons of s	onnection SEC and/or	with sales o	f securities e or state, l	in the offe	ring. If a pe e of the bro	erson to be ker or deale	listed is a er. If more	n associa e than fiv	ted person o
Business or	Residence	Address (Nu	mher and S	treet City	State 7 in (ode)			<u>.</u>			
Dusiness of	Residence /	-1001C35 (140	and a	nicci, City,	State, Zip	couc)						
Name of As	sociated Bro	oker or Deal	ег				··· <u></u>					
States in Wi		Listed Has a										All States
[AL]	[AK]	[AZ]	(AR)	[CA]	[CO]	[CT]	[DE]	[DC]	[FL]	[GA]	 [HI]	All States [ID]
[ונה]	[IN]	[IA]	[KS]	[KY]	[LA]	[ME]	[MD]	[MA]	[MI]	[MN]	[MS]	[MO]
[MT]	[NE]	[NV]	(NH)	[NJ]	[NM]	[NY]	[NC]	[ND]	[OH]	[OK]	[OR]	[PA]
[RI]	[SC]	[SD]	[TN]	[TX]	[UT]	[VT]	[VA]	[WA]	[WV]	[WI]	(WY)	(PR)
Business or		`		street, City,	State, Zip (Code)						
ranic of 713	sociated Div	okei oi beai	.CI									
States in WI												All States
[AL]	[AK]	or check ind [AZ]	[AR]	[CA]	[CO]	[CT]	[DE]	[DC]	[FL]	[GA]	 [HI]	[ID]
[IL]	(IN]	[]A]	[KS]	[KY]	[LA]	[ME]	[MD]	[MA]	[MI]	[MN]	[MS]	[MO]
[MT]	[NE]	[NV]	[NH]	[NJ]	[NM]	[NY]	[NC]	[ND]	[OH]	[OK]	[OR]	[PA]
[RI]	[SC]	[SD]	[TN]	[TX]	[UT]	[VT]	[VA]	[WA]	[WV]	[WI]	[WY]	[PR]
Full Name (Last name f	irst, if indiv	idual)									
Business or	Residence A	Address (Nu	mber and S	treet, City,	State, Zip (Code)						
Name of As	sociated Bro	oker or Deal	er		·							
States in Wi						hasers						All States
(Check	"All State" [AK]	or check inc [AZ]	iividuai Sta [AR]	tes) [CA]	[CO]	[CT]	[DE]	[DC]	[FL]	[GA]	 [HI]	All States [ID]
(IL)	[IN]	[AI]	[KS]	[KY]	[LA]	[ME]	[MD]	[MA]	[MI]	[MN]	[MS]	[MO]
[MT]	[NE]	[NV]	[NH]	[1/1]	[NM]	[NY]	[NC]	[ND]	[OH]	[OK]	[OR]	[PA]
[RI]	[SC]	(SD)	[TN]	[TX]	[עדן]	[VT]	[VA]	[WA]	[WV]	[WI]	[WY]	[PR]

C. OFFERING PRICE, NUMBER OF INVESTORS, EXPENSES AND USE OF PROCEEDS

check this box and indicate in the columns below the amounts of the securities offered for exchange and already exchanged.		
Type of Security	Aggregate Offering Price	Amount Already Sold
Debt	-	
Equity	\$_0	\$_0
□ Common □ Preferred		
Convertible Securities (including warrants)	\$ <u>0</u>	\$ <u>0</u>
Partnership Interests	\$ <u>N/A</u>	\$8,000,000
Other (Specify)	\$ <u>0</u>	\$ <u>0</u>
Total	\$N/A	\$ <u>8,000,000</u>
Answer also in Appendix, Column 3, if filing under ULOE.		
2. Enter the number of accredited and non-accredited investors who have purchased securities in this offering and the aggregate dollar amounts of their purchases. For offerings under Rule 504, indicate the number of persons who have purchased securities and the aggregate dollar amount of their purchases on the total lines. Enter "0" if answer is "none" or "zero."	Number Investors	Aggregate Dollar Amoun of Purchases
Accredited Investors	82	\$8,000,000
Non-accredited Investors		\$_0
Total (for filings under Rule 504 only) Answer also in Appendix, Column 4, if filing under ULOE.	<u>N/A</u>	\$ <u>N/A</u>
3. If this filing is for an offering under <u>Rule 504</u> or <u>505</u> , enter the information requested for all securities sold by the issuer, to date, in offerings of the types indicated, in the twelve (12) months prior to the first sale of securities in this offering. Classify securities by type listed in Part C- Question 1.		
Type of offering	Type of Security	Dollar Amoun Sold
Rule 505	N/A	
Regulation A	N/A	\$ <u>N/A</u>
Rule 504	N/A	\$ <u>N/A</u>
Total	N/A	\$_N/A
4. a. Furnish a statement of all expenses in connection with the issuance and distribution of the securities in this offering. Exclude amounts relating solely to organization expenses of the issuer. The information may be given as subject to future contingencies. If the amount of an expenditure is not known, furnish an estimate and check the box to the left of the estimate.		
Transfer Agent's Fees	l	<u> </u>
Printing and Engraving Costs		D \$
Legal Fees		S \$50,000
Accounting Fees		□ s
Engineering Fees	(□ \$
Sales Commissions (specify finders' fees separately)		□ \$
Other Expenses (identify) Blue Sky Filing Fees, plecopy, phone and other miscellaneous expense	<u>s</u> 1	\$ 10,000
Total		\$ 60,000

	b. Enter the difference between the aggregate offering price given in response to Part C Question 1 and total expenses furnished in response to Part C - Question 4.a. This difference is the "adjusted gross proceeds to the issuer."				\$ 7,940,000
5.	. Indicate below the amount of the adjusted gross proceeds to the issuer used or proposed to be used for each of the purposes shown. If the amount for any purpose is not known, furnish an estimate and check the box to the left of the estimate. The total of the payments listed must equal the adjusted gross proceeds to the issuer set forth in response to Part C- Question 4.b above.		Payments to Officers, Directors, & Affiliates		Payments To Others
	Salaries and fees		\$_0		\$ 0
	Purchase of real estate		\$_0		\$ <u>0</u>
	Purchase, rental or leasing and installation of machinery and equipment	O	\$_0		\$_0
	Construction or leasing of plant buildings and facilities		\$_0		\$ <u>0</u>
	Acquisition of other businesses (including the value of securities involved in this offering that may be used in exchange for the assets or securities of another				
	issuer pursuant to a merger)	O	\$ <u>0</u>		\$_0
	Repayment of indebtedness		\$ <u>0</u>		\$_0
	Working Capital	0	\$ <u>0</u>		\$_0
	Other (specify): Investment in venture capital investments.		\$ <u>0</u>	×	\$_**

Ø \$<u>0</u>

⊠ \$**

Column Totals

Total Payments Listed (Column totals added)

C. OFFERING PRICE, NUMBER OF INVESTORS, EXPENSES AND USE OF PROCEEDS

^{** \$8,000,000} minus partnership expenses.

D	FEL	DER	AT.	SIGN	ATI	IRE

The issuer has duly caused this notice to be signed by the undersigned duly authorized person. If this notice is filed under Rule 505, the following signature constitutes an undertaking by the issuer to furnish to the U.S. Securities and Exchange Commission, upon written request of its staff, the information furnished by the issuer to any non-accredited investor pursuant to paragraph (b)(2) of Rule 502.

Issuer (Print or Type)	Signature	Date
Charles River Friends XIII-A, LP	n of	10/29/07
Name of Signer (Print or Type)	Title of Signer (Print or Type)	
Bruce I. Sachs	Member of Charles River GP XIII, LLC, General Pa	artner of the Issuer

	E. STAT	E SIGNATURE	***		
Is any party described in 17 CFR 230.262 pre of such rule?		of the disqualification pro	ovisions	Yes	No ⊠
	Sec Appendix, Co	dumn 5, for state respons	sc.		
The undersigned issuer hereby undertakes to f Form D (17 CFR 239,500) at such times as t		ministrator of any state in	n which this notice is filed, a notice on		
 The undersigned issuer hereby undertakes to f issuer to offerees. 	urnish to the state adn	ninistrators, upon writter	n request, information furnished by the		
 The undersigned issuer represents that the issuer limited Offering Exemption (ULOE) of the sof this exemption has the burden of establishing 	tate in which this notic	e is filed and understand	satisfied to be entitled to the Uniform its that the issuer claiming the availabil	lity	
The issuer has read this notification and knows the duly authorized person.	ne contents to be true a	and has duly caused this	notice to be signed on its behalf by the	undersig	med
Issuer (Print or Type)	Signature	. 4	Date		

Issuer (Print or Type)	Signature Date	
Charles River Friends XIII-A, LP	n & der 10/29/07	
Name of Signer (Print or Type)	Title of Signer (Print or Type)	
Bruce I. Sachs	Member of Charles River XIII GP, LLC, General Partner of the Issuer	



Print the name and title of the signing representative under his signature for the state portion of this form. One copy of every notice on Form D must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

